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| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/765,668             |              |
|   | Filing Date          | 01/27/2004             |              |
|   | First Named Inventor | David B. Rozema        |              |
|   | Art Unit             | 1636                   |              |
|   | Examiner Name        | Dunston, Jennifer Ann  |              |
| Total Number of Pages in This Submission  | 13                   | Attorney Docket Number | Mirus.042.02 |

| ENCLOSURES (Check all that apply)  |   |  |
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| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>                            |   |  |
| Firm or Individual name  | Kirk Ekena  |  |
| Signature  |   |  |
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| Typed or printed name   | Kirk Ekena |        |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **David B. Rozema,** )  
**Darren Wakefield** )  
Serial No.: **10/765,668** )  
Filed: **01/27/2004** )  
Group Art Unit: )

For: **Membrane Active Anions**

INFORMATIONAL STATEMENT

Commissioner of Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Dear Sir:


Pursuant to 37 C.F.R. 1.56, applicant hereby calls to the attention of the Patent and Trademark Office the publications listed on the attached PTO 1449. Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

REFERENCES CITED

Tsiourvas D et al. "Further studies on Homopolymers and Copolymers Resulting from the Reaction of Polymaleic anhydride with alcohols and amines." J Polymer Science 1990 Vol. . 28 pp. 1263-1271

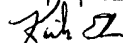
Applicant respectfully requests that these publications be expressly considered during the prosecution of this application and made of record herein and appear among the 'References Cited' on any patent to issue herefrom.

Respectfully submitted,

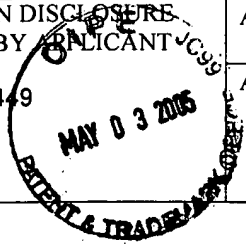


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|---|---|---|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>FORM PTO-1449 | Attorney Docket No.: <b>Mirus.42.02</b>             | Serial No.:<br><b>10/765,668</b>          |
|   | Applicant: <b>David B. Rozema, Darren Wakefield</b> | Group:<br><b>1636</b>                     |
|   |   | Examiner:<br><b>Dunston, Jennifer Ann</b> |



### U.S. PATENT DOCUMENTS

| Exmnr<br>Intl | Seq | Patent Number | Issue<br>Date | Patentee | Class | Sub<br>Class | Filing Date |
|---------------|-----|---------------|---------------|----------|-------|--------------|-------------|
|               |     |               |               |          |       |              |             |

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

|  |  | Document Number | Publication<br>Date | Country or<br>Patent Office | Class | Sub<br>Class | Transl.<br>yes no |  |
|--|--|-----------------|---------------------|-----------------------------|-------|--------------|-------------------|--|
|  |  |                 |                     |                             |       |              |                   |  |

### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, etc.)

|  |  |  |
|--|--|--|
|  |  | Tsiourvas D et al. "Further studies on Homopolymers and Copolymers Resulting from the Reaction of Polymaleic anhydride with alcohols and amines." J Polymer Science 1990 Vol. 28 pp. 1263-1271 |
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|           |                  |
|-----------|------------------|
| Examiner: | Date Considered: |
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